

Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/815,229
		Filing Date	March 30, 2004
		First Named Inventor	Michael Kenrich
		Art Unit	2136
		Examiner Name	Shanto Abedin
Sheet	1	of	16
		Attorney Docket Number	2222.5490000

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	US1	4,203,166	05-13-1980	Eshram et al.	
	US2	4,734,568	03-29-1988	Watanabe	
	US3	4,757,533	07-12-1988	Allen et al.	
	US4	4,796,220	01-01-1989	Wolfe	
	US5	4,799,258	01-17-1989	Davies	
	US6	4,827,508	05-02-1989	Shear	
	US7	4,888,800	12-19-1989	Marshall et al.	
	US8	4,972,472	11-20-1990	Brown et al.	
	US9	5,032,979	07-16-1991	Hecht et al.	
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	FP1	EP 0 674 853 A1	09-27-1995	Kabushiki Kaisha Toshiba	
	FP2	EP 0 809 170 A1	11-26-1997	NCR International Inc.	
	FP3	EP 0 913 966 A2	05-06-1999	Sun Microsystems, Inc.	
	FP4	EP 0 950 941 A2	10-20-1999	Fujitsu Limited	
	FP5	EP 0 950 941 A3	10-20-1999	Fujitsu Limited	
	FP6	EP 1 130 492 A2	09-05-2001	Sony Corporation	
	FP7	EP 1 154 348 A2	11-14-2001	Matsushita Electronics Corp.	
	FP8	EP 1 107504 A2	06-13-2001	Denayoun et al.	
	FP9	EP 0 672 991 A2	09-20-1995	Narasimhalu et al.	

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	US21	5,406,628	04-11-1995	Beller et al.	
	US22	5,414,852	05-09-1995	Kramer et al.	
	US23	5,495,533	02-27-1996	Linehan et al.	
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	FP10	GB 2 328 047 A	02-10-1999	Microsoft Corporation	
	FP11	JP 2001-036517	02-09-2001	Lucent Technol, Inc.	
	FP12	WO 00/56028 A2	09-21-2000	Texas Software Corp.	
	FP13	WO 01/61438 A2	08-23-2001	Permabit, Inc.	
	FP14	WO 01/63387 A2	08-30-2001	Visualgold.com, Inc.	
	FP15	WO 01/63387 A3	08-30-2001	Visualgold.com, Inc.	
	FP16	WO 01/78285 A1	10-18-2001	Mediadrax, Inc.	
	FP17	WO 01/84271 A2	11-08-2001	Certia, Inc.	
	FP18				

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			Examiner Name	Shanto Abedin	
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	US41	5,729,734	03-17-1998	Parker et al.	
	US42	5,745,573	04-28-1998	Lipner et al.	
	US43	5,748,736	05-05-1998	Mitra	
	US44	5,851,287	05-12-1998	Hahn et al.	
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	US62	5,898,781	04-27-1999	Shanton	
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	FP28				
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	US111	6,341,164 B1	01-22-2002	Dilkie et al.	
	US112	6,347,374 B1	02-12-2002	Drake et al.	
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Substitute for Form 1449/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Application Number	10/815,229	
			Filing Date	March 30, 2004	
			First Named Inventor	Michael Kenrich	
			Art Unit	2136	
			Examiner Name	Shanto Abedin	
Sheet	7	of	16	Attorney Docket Number	2222.5490000

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	US123	6,442,688 B1	08-27-2002	Moses et al.	
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	FP55				
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	FP59				
	FP60				
	FP61				
	FP62				
	FP63				

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		Attorney Docket Number	2222.5490000

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	FP64				
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	FP70				
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				Art Unit	2136
				Examiner Name	Shanto Abedin
				Attorney Docket Number	2222.5490000
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	FP73				
	FP74				
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	FP77				
	FP78				
	FP79				
	FP80				
	FP81				

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Sheet	10	of	16
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	FP82					
	FP83					
	FP84					
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	FP90					

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Sheet	11	of	16	Attorney Docket Number	2222.5490000

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/815,229
		Filing Date	March 30, 2004
		First Named Inventor	Michael Kenrich
		Art Unit	2136
		Examiner Name	Shanto Abedin
		Attorney Docket Number	2222.5490000
Sheet	13	of	16

U.S. PATENT DOCUMENTS					
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	NPL1	Expiration Mechanism for Chipcards, IBM Technical Disclosure Bulletin, October 1, 2001, UK	
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	NPL8	"How EFS work," from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
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	NPL11	" Windows 2000 EFS" in the April 1999 issue of Windows NT magazine	
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	NPL26	U.S. patent application, Ser No. 10/286,524, entitled "Security system that uses indirect password-based encryption," inventor Gutnik, November 1, 2002, 38 pgs.	
	NPL27	U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encrypted files transmitted over a network " inventor Ryan, September 11, 2002, 33 pgs.	
	NPL28	U.S. patent application, Ser No. 10/642,041, entitled "Method and system for fault-tolerant transfer of files across a network " inventor Kenrich, August 15, 2003, 32 pgs.	
	NPL29	U.S. patent application, Ser No. 10/610,832, entitled "Method and system for enabling users of a group shared across multiple file security systems to access secured files " inventor Ryan, June 30, 2003, 33 pgs.	
	NPL30	U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files " inventor Ryan, May 30, 2003, 35 pgs.	

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Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/815,229
				Filing Date	March 30, 2004
				First Named Inventor	Michael Kenrich
				Art Unit	2136
				Examiner Name	Shanto Abedin
Sheet	4	of	6	Attorney Docket Number	2222.5490000

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
	NPL31	U.S. patent application, Ser No. 10/074,194, entitled "Methods for identifying compounds that inhibit or reduce PTP1B expressions" inventor Rondinone, February 12, 2002, 69 pgs.	
	NPL32	U.S. patent application, Ser No. 10/074,804, entitled "Secured Data Format for Access Control," inventor Garcia, February 12, 2002, 108 pgs.	
	NPL33	U.S. patent application, Ser No. 10/075,194, entitled "System and Method for Providing Multi-location Access Management to Secured Items," inventor Vainstein et al., February 12, 2002, 110 pgs.	
	NPL34	U.S. patent application, Ser No. 10/074,996, entitled "Method and Apparatus for Securing Electronic Data," inventor Lee et al., February 12, 2002, 111 pgs.	
	NPL35	U.S. patent application, Ser No. 10/074,825, entitled "Method and Apparatus for Accessing Secured Electronic Data Off-line," inventor Lee et al., February 12, 2002, 108 pgs.	
	NPL36	U.S. patent application, Ser No. 10/105,632, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 86 pgs.	
	NPL37	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.	
	NPL38	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.	
	NPL39	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.	
	NPL40	U.S. patent application, Ser No. 10/246,079, entitled "Security System for Generating Keys from Access rules in a Decentralized Manner and Methods Therefor," inventor Hildebrand, September 17, 2002, 78 pgs.	

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				Art Unit	2136
				Examiner Name	Shanto Abedin
Sheet	5	of	6	Attorney Docket Number	2222.5490000

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	NPL41	U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.	
	NPL42	U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.	
	NPL43	U.S. patent application, Ser No. 10/295,363, entitled "Security System Using Indirect Key Generation from Access Rules and Methods Thereof," inventor Vainstein, November 15, 2002, 70 pgs.	
	NPL44	U.S. patent application, Ser No. 11/889,310, entitled "Methods and Systems for Providing Access Control to Electronic Data," inventor Rossmann, August 10, 2007, 90 pgs.	
	NPL45	U.S. patent application, Ser No. 11/797,367, entitled "Method and System for Managing Security Tiers," inventor Vainstein, May 2, 2007, 11 pgs.	
	NPL46	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp.1-4.	
	NPL47	Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.	
	NPL48	"Security Options". December 20, 2001. DC & Co. pages 1-2	
	NPL49	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.	
	NPL50	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.	

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				<i>Filing Date</i>	March 30, 2004
				<i>First Named Inventor</i>	Michael Kenrich
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	NPL51	Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.	
	NPL52	Search Report, completion date April 14, 2005, for European Patent Application No. EP 02 25 8533, 2 pages.	
	NPL53	Search Report, completion date March 16, 2005, for European Patent Application No. EP 02 25 8534, 2 pages.	
	NPL54	Search Report, completion date March 2, 2005, for European Patent Application No. EP 02 25 8535, 2 pages.	
	NPL55	Search Report, completion date March 3, 2005, for European Patent Application No. EP 02 25 8537, 2 pages.	
	NPL56	Search Report, completion date May 12, 2005, for European Patent Application No. EP 02 25 8539, 2 pages.	
	NPL57	Search Report, completion date July 6, 2005, for European Patent Application No. EP 02 25 8529, 4 pages.	
	NPL58	Search Report, completion date October 8, 2003, for European Patent Application No. EP 02 25 8536, 2 pages.	
	NPL59		
	NPL60		

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